



Customer's Consent (Electronic Communication)

客戶同意書(電子通訊)

To: **GT Capital Limited**
Suites 2508-9, 25/F., Harbour Centre,
25 Harbour Road, Wanchai, Hong Kong

Re: Electronic Communications

I/We, the undersigned customer, hereby consent to the Company providing all notices, statements, trade confirmations and other communications by the Company to me/us through electronic communications and I/we hereby request instruct and authorize the Company to transmit, release and send all notices, statements, contract notes, trade confirmations and other communications (the "Communications") to me/us at my/our designated e-mail address as specified below:

| | |
|-----------------------------------|--|
| My/Our Designated E-mail address: | |
|-----------------------------------|--|

I/We, acknowledge and accept the risks of receiving the Communications including but not limited to the risks as set out in Schedule 18 of the Cash/Margin Client Agreement of "NOTICE" and agree and undertake to hold the Company harmless from and against all losses, damages, interests, cost, expenses, actions, demands, claims or proceedings of whatsoever nature which I/we may incur, suffer and/or sustain as a result of the Company's provision of the Communications via electronic communication. Once choosing electronic communication service, I/we will not receive any physical account statement by mail. I/We undertake to notify the Company of any change in my/our e-mail address. If the Company got two successive messages in relation to failure of sending the statement to my/our e-mail address electronically, the Company has the right to send the statement to me/us via physical mail instead.

In the event of any difference in interpretation or meaning between the English and Chinese version of this Consent, the Customer agrees that the English version shall prevail.

This Consent has been explained to me/us. I/We declare that I/we fully understand the contents of this Consent.

Customer Signature : _____ Account No. : _____

Customer Name : _____ Date : _____

致：高富金融有限公司

香港灣仔港灣道 25 號海港中心 25 樓 2508-9 室

有關：電子通訊

本人/吾等，以下簽署之客戶，謹此同意 貴公司透過電子通訊向本人/吾等以本人/吾等於下列所指定之電子郵箱地址收取成交單據、交易確認文件及其他通訊(以下稱“該等通訊”)。

| | |
|----------------|--|
| 本人/吾等指定電子郵箱地址： | |
|----------------|--|

本人/吾等確認及接受透過電子通訊接收該等通訊的風險，包括但不限於載於現金/保證金客戶合約條款第 18 項“通知”所列的風險，並同意及承諾免除 貴公司因 貴公司透過電子服務提供該等通訊而令本人/吾等產生、蒙受及/或承受的一切虧損、損失、利息、費用、開支、法律訴訟、付款要求、索償程序等等的責任。本人/吾等明白本人/吾等只可從郵寄賬單或電子通訊選擇其一，一經選用電子通訊，本人/吾等將不會收到郵件形式寄發之成交單及月結單。於本人/吾等選用電子通訊期間，本人/吾等將第一時間通知 貴公司有關本人/吾等電子郵箱地址的變更。假若 貴公司寄發電子賬單後連續二次收到錯誤之信號， 貴公司可選擇以郵寄形式取代電子通訊。

如本同意書中，英文之解釋或意思有不相同，客戶同意以英文本為準。

本同意書經向本人/吾等解釋。本人/吾等聲明本人/吾等完全明白本同意書內容。

客戶簽署：_____ 賬戶號碼：_____

客戶名稱：_____ 日期：_____



衍生產品之認識
Knowledge of Derivative Products

本人/吾等從以下途徑已獲得對衍生產品之認識(請選擇一項)

I/We have acquired knowledge of derivative products (Please choose one):

- 已接受有關介紹一般衍生產品之性質及風險的培訓或課程(例如由學術機構或金融機構所提供之網上課程或教室課程)
by undergoing training or attending courses that provide general knowledge of the nature and risks of derivatives (e.g. relevant online or classroom courses offered by academic institutions or financial institutions).
- 本人/吾等以前曾有衍生產品之相關工作經驗
from my/our prior work experience related to derivative products
- 1) 本人/吾等於金融機構(例如銀行、證券公司等)擁有相關工作經驗
I/we have prior relevant work experience in financial institution(s) (e.g. banks, securities companies, etc).
- 2) 或 其他相關工作經驗(請註明):
Or other relevant work experience (please specify): _____
- 本人/吾等曾有相關之交易經驗, 即本人/吾等於過去三年內已進行了五次或以上有關衍生產品之交易(不論是否於交易所進行交易)
from my/our relevant trading experience i.e. I/we have executed five or more transactions in derivative product (whether traded on an exchange or not) within the past three years.
- 本人/吾等沒有衍生產品之認識
I/we have **NO** knowledge of derivative products.

本人/吾等確認上述資料完全真實及完整, 並明白高富金融有限公司將根據上述資料評估本人/吾等是擁有對衍生產品之認識, 以符合證券及期貨事務監察委員會持牌人或註冊人操守準則之有關要求。本人/吾等確認已獲高富金融有限公司清楚解釋買賣衍生產品可能帶來損失全部投資之風險, 但本人/吾等仍然堅持冒此風險。

I/We hereby confirm that the above information provided is true and complete, I/we also understand that GT Capital Limited will rely on the above information provided to assess whether I/we have acquired knowledge of derivative products, in order to comply with relevant requirements of the Code of Conduct for Persons Licensed by or Registered with the Securities and Futures Commission. I/We confirm that GT Capital Limited has clearly explained the risks of derivative products trading may lead to total loss of the investments but I/we still insist to undertake such risks.

客戶簽名 Client Signature(s)

客戶名稱 Client Name:

證券帳號 Account No.:

日期 Date: